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A NOTE ON GAUSS' "SERIERUM SINGULARIUM" *

BY L. CARLITZ

Duke University, Durham, North Carolina, U. S. A.

1. Put

$$(a)_m = (1 - a)(1 - ax) \cdots (1 - ax^{m-1}), \quad (x)_0 = 1,$$

and

$$\begin{bmatrix} m \\ r \end{bmatrix} = \frac{(x)_m}{(x)_r (x)_{m-r}}, \quad \begin{bmatrix} m \\ 0 \end{bmatrix} = \begin{bmatrix} m \\ m \end{bmatrix} = 1.$$

In connection with the evaluation of the sum $\sum_{s=0}^{m-1} e^{2\pi i s^2/m}$, GAUSS [1] proved the identity

$$(1) \quad \sum_{r=0}^{2m} (-1)^r \begin{bmatrix} 2m \\ r \end{bmatrix} = (1-x)(1-x^3) \cdots (1-x^{2m-1}).$$

The proof, which is reproduced in [3; CHAPTER 7] and [4; CHAPTER 5] is a verification and it is by no means evident what suggested the left member of (1). Indeed the form of the left member is rather surprising when compared with the identity

$$\sum_{r=0}^m (-1)^r \begin{bmatrix} m \\ r \end{bmatrix} x^{r(r-1)/2} t^r = (1-t)(1-xt) \cdots (1-x^{m-1}t).$$

We wish to point out in this note that (1) can be obtained in a more natural way using only EULER's identity [2, p. 278]

$$(2) \quad \prod_0^{\infty} (1 - x^m t)^{-1} = \sum_0^{\infty} t^m / (x)_m.$$

Indeed in (2) replace t by $-t$, so that

$$(3) \quad \prod_0^{\infty} (1 + x^m t)^{-1} = \sum_0^{\infty} (-1)^m t^m / (x)_m.$$

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If we multiply corresponding members of (2) and (3) we find that the right side

$$= \sum_{m=0}^{\infty} \frac{t^m}{(x)_m} \sum_{r=0}^m (-1)^r \begin{bmatrix} m \\ r \end{bmatrix},$$

while the left side

$$= \prod_0^{\infty} (1 - x^{2^m} t^2)^{-1} = \sum_0^{\infty} t^{2^m} (x^2)'_m,$$

where

$$(x^2)'_m = (1 - x^2)(1 - x^4) \dots (1 - x^{2^m})$$

Consequently

$$\sum_{r=0}^{2^m} (-1)^r \begin{bmatrix} 2^m \\ r \end{bmatrix} = \frac{(x)_{2^m}}{(x^2)'_m} = (1 - x)(1 - x^3) \dots (1 - x^{2^m-1}),$$

which proves (1).

If in place of (2) we use the companion formula [2, p. 278]

$$(4) \quad \prod_0^{\infty} (1 + x^m t) = \sum_0^{\infty} x^{m(m-1)/2} t^m / (x)_m,$$

we get in exactly the same way

$$(5) \quad \sum_0^{2^m} (-1)^r x^{(m-r)^2} \begin{bmatrix} 2^m \\ r \end{bmatrix} = (-1)^m (1 - x)(1 - x^3) \dots (1 - x^{2^m-1})$$

However (5) can be obtained from (1) by replacing x by x^{-1} and simplifying.

2. GAUSS [1] also proved the formula

$$(6) \quad \sum_{r=0}^m x^{r/2} \begin{bmatrix} m \\ r \end{bmatrix} = \prod_{r=1}^m (1 + x^{r/2}).$$

This can also be obtained from (2). In (2) replace t by $x^{1/2} t$ and multiply together corresponding members. We get

$$\sum_{m=0}^{\infty} \frac{t^m}{(x)_m} \sum_{r=0}^m x^{r/2} \begin{bmatrix} m \\ r \end{bmatrix} = \prod_0^{\infty} (1 - x^{m/2} t)^{-1},$$

so that

$$\sum_{r=0}^m x^{r/2} \left[\begin{matrix} m \\ r \end{matrix} \right] = \frac{(1-x)(1-x^2)\cdots(1-x^m)}{(1-x^{1/2})(1-x)\cdots(1-x^{m/2})} \\ = (1+x^{1/2})(1+x)\cdots(1+x^{m/2}),$$

which proves (6).

3. The formulas (1) and (6) can be generalized in the following way. In place of (2) we use the more general identity

$$(7) \quad \prod_0^{\infty} \frac{1 - a x^m t}{1 - x^m t} = \sum_0^{\infty} \frac{(a)_m}{(x)_m} t^m.$$

Then operating on (7) exactly as in the proof of (1), we get

$$(8) \quad \sum_{r=0}^{2m} (-1)^r \left[\begin{matrix} 2m \\ r \end{matrix} \right] (a)_r (a)_{2m-r} = \prod_{s=0}^{m-1} (1-x^{2s+1})(1-a^2 x^{2s}).$$

Similarly, as in the proof of (6), we get

$$(9) \quad \sum_{r=0}^m x^{r/2} \left[\begin{matrix} m \\ r \end{matrix} \right] (a)_r (a)_{m-r} = \prod_{s=1}^m (1+x^{s/2})(1-ax^{(s-1)/2}).$$

For $a = 0$, (8) and (9) reduce to (1) and (6) respectively.

For $a = x^{1/2}$, they become

$$(10) \quad \sum_{r=0}^{2m} (-1)^r \left[\begin{matrix} 2m \\ r \end{matrix} \right] (x^{1/2})_r (x^{1/2})_{2m-r} = \prod_0^{m-1} (1-x^{2s+1})^2,$$

$$(11) \quad \sum_{r=0}^m x^{r/2} \left[\begin{matrix} m \\ r \end{matrix} \right] (x^{1/2})_r (x^{1/2})_{m-r} = (x)_m;$$

the left members of (10) and (11) can be simplified.

If $n = 2m + 1$ is an arbitrary odd number and we take in (8) $x = e^{-4\pi i/n}$, we get after a little manipulation

$$S_n(a) = \sum_{r=0}^{2m} \varepsilon^{(m-r)s} (a)_r (a)_{2m-r} = \prod_0^{m-1} 2i \sin \frac{2\pi(2s+1)}{n} \cdot \prod_0^{m-1} (1-a^2 \varepsilon^{-4s}) \\ (\varepsilon = e^{2\pi i/n}).$$

Since the first product is $n^{1/2}$ or $in^{1/2}$ according as $n \equiv 1$ or $3 \pmod{4}$, it follows that for $|a| < 1$ we have

$$(12) \quad \begin{aligned} R(S_n(a)) &> 0 && (n \equiv 1 \pmod{4}) \\ I(S_n(a)) &> 0 && (n \equiv 3 \pmod{4}); \end{aligned}$$

for $a = 0$, (12) reduces to the familiar result for Gaussian sums.

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